



## MEMBER NOTICE – 2020\_0015

**Date:** 9<sup>th</sup> November 2020

**Priority:** Normal

**Venue(s):** Aquis Exchange UK (AQXE), Aquis Exchange EU (AQEU)

**Subject:** Aquis Exchange Brexit Updates

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With the Brexit transition period due to end on 31<sup>st</sup> December, Aquis Exchange would like to share the following updates:

### **Expansion of Aquis EU security list**

As per [Trading Notice 2020\\_0059](#), Aquis EU (AQEU) will be expanding its security list with effect from end of day on 11<sup>th</sup> November. These securities will continue to be available on Aquis UK (AQXE) as well.

For the most up-to-date reference data, firms are advised to download the daily Aquis EU security file via FTP from Thursday morning before market open.

### **FCA and ESMA share trading obligations**

Further to the FCA's communication last week about its approach to the share trading obligation, Aquis EU has received confirmation from the FCA that it is in accordance with regulation 8 of the Transitional Provisions Regulation (TPR).

Consequently, Aquis EU will be treated as if it had permission under Part 4 of the Financial Services & Markets Act 2000 for UK regulated investment firms.

### **Market at Close (MaC) on Aquis EU**

For EU securities, MaC will be available on Aquis EU as well as its existing availability on Aquis UK.

The Aquis EU MaC will be enabled after close of business on 27<sup>th</sup> November. In the meantime, Members can test this in the CT environment.

Market Data recipients can request the Aquis EU MaC data IP addresses via [support@aqis.eu](mailto:support@aqis.eu).

### **Transaction Reporting for third-country Members**

Members who require Aquis to submit transaction reports to the UK and/or EU regulators on their behalf are requested to have successfully tested their transaction reporting arrangements with the Aquis Compliance Team by 30<sup>th</sup> November. Please contact [compliance@aqis.eu](mailto:compliance@aqis.eu) for technical guidance on the process.



## **Updated Brexit FAQs**

Aquis has published an updated version of its [Frequently Asked Questions](#).

For more information, please contact your account manager, the Aquis Exchange Support team on [support@aquis.eu](mailto:support@aquis.eu) or call +44 (0)20 3597 6333.

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Previous member notices sent by Aquis Exchange can be viewed on our [website](#).