



## MEMBER NOTICE – 2020\_0014

**Date:** 9<sup>th</sup> October 2020

**Priority:** Normal

**Venue(s):** Aquis Exchange UK (AQXE), Aquis Exchange EU (AQEU)

**Subject:** Brexit Transaction Reporting Testing Arrangements

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In preparation for potential changes to transaction reporting obligations for Aquis Exchange UK (AQXE) and Aquis Exchange EU (AQEU) in light of the forthcoming Brexit deadline, Members who may require Aquis to submit transaction reports to the UK and/or EU regulators on their behalf are requested to have successfully tested their transaction reporting arrangements with the Aquis Compliance Team by the end of November 2020.

As a reminder, transaction reporting arrangements will need to be made by the Member to the relevant Competent Authority (CA). Upon request Aquis will transaction report for third country Members. The transaction reporting service will be subject to fees and Members are required to sign a Transaction Reporting Addendum (available at the links below).

- [Aquis UK Transaction Reporting Addendum](#)
- [Aquis EU Transaction Reporting Addendum](#)

Members can test using Production and/or Customer Testing (CT) data.

Please contact the Aquis Compliance department to receive technical guidance on the transaction reporting process and coordinate testing:

[compliance@aquis.eu](mailto:compliance@aquis.eu) or call +44 (0)20 3597 6360.

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Previous member notices sent by Aquis Exchange can be viewed on our [website](#).